



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SCHMID et al.

Serial No. 10/015,752

Filed: December 17, 2001

For: PROCESS FOR THE PRODUCTION OF AQUEOUS POLYMER DISPERSIONS

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
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INFORMATION DISCLOSURE STATEMENT

Sir:

This subject Information Disclosure Statement is submitted in connection with applicants' continuing duty of disclosure under 37 CFR 1.56.

Listing of Relevant Documents

The relevant documents are listed in the attached Form PTO-1449.

Remarks

The listed references are discussed on pages 4-8 16, 21 and 30 of the specification.

WO 00/20464 is the English equivalent of FR 2,784,100, which is discussed on page 8 of the instant specification.

WO 01/44325 is not available in English, however an English language abstract is contained on the front page of the reference which should meet the concise explanation requirement.

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Respectfully submitted,

KEIL & WEINKAUF

A handwritten signature in cursive script, appearing to read "HB Keil".

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Form PTO-1049

Document Number
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INFORMATION DISCLOSURE CITATION
IN AN APPLICATIONApplicant
SCHMID et al.Filing Date
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1714

(Use several sheets if necessary)

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U.S. PATENT DOCUMENTS

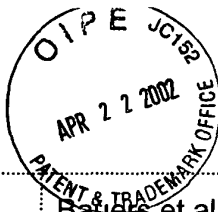
Exam. Init.	Document Number	Date	Name	Class	Sub- Class	Fing Date
	4,716,205	12/29/87	Klabunde	526	115	
	4,698,403	10/6/87	Klabunde	526	126	
	3,686,159	8/22/72	Banner et al.	260	94	
	3,661,803	5/9/72	Bauer et al.	252	431	
	3,635,937	1/18/72	Bauer et al.	260	94	
	3,637,636	1/25/72	Bauer et al.	260	94	
	5,574,091	11/12/96	Walther et al.	524	570	

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub- Class	Fing Date
WO 01/44325	6/21/01	PCT			
FR 2 784 110	4/7/00	France			
WO 00/20464		PCT			
WO 98/42664	10/1/98	PCT			
WO 98/42665	10/1/98	PCT			
WO 97/17380	5/15/97	PCT			
EP 0 046 328	2/24/82	Europe			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

R. Cramer "DI- μ -Chlorotetrakis(ethylene)Dirhodium(I), 2,4-Pentanedionatobis(Ethylene)Rhodium(I), DI- μ -Chlorotetracarboxyldirhodium(I)" Inorgan. Synthesis Vol.XV (1974) pg14-18



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Bauers et al. "Aqueous Homo- and Copolymerization of Ethylene by Neutral Nickel(II) Complexes" Macromolecules Vol. 34 (2001) pgs 1165-1171	
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Nelson et al. "Polymerization and 1D and 2D NMR Analysis of Alpha-Olefins from Late Transition Metal Catalysts" Polymer Preprints Vol.38 (1997) pg 133	
Killian et al. "Living Polymerization of α -Olefins Using Ni^{II} - α Diimine Catalysts. Synthesis of New Block Polymers Based on α -Olefins" J. Am. Chem. Soc. Vol. 118 (1996) pgs 11664-11665	
Johnson et al. "New Pd(II)- and Ni(II)-Based Catalysts for Polymerization of Ethylene and α -Olefins" J. Am. Chem. Soc. Vol. 117 (1995) pgs 6414-6415	
Keim et al. "Novel Coordination of (Benzoylmethylene)triphenylphosphorane in a Nickel Oligomerization Catalyst" Angew. Chem. Intl. Ed. Vol. 17 No. 6 (1978) pgs 466	
Wang et al. J. Am. Chem. Soc. Vol. 115 (1993) pgs 699-7000	
Brintzinger et al. "Stereospecific Olefin Polymerization with Chrial Metallocene Catalysts" Angew. Chem. Intl. Ed. Vol. 34 (1995) pgs 1143-1170	
Principles of Polymer Systems (1983) pgs 384	
EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	

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